



PATENT Customer No. 22,852 Attorney Docket No. 4208.0142

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re A	application of:	
Ippei S	SHAKE et al.	
Applic	ation No.: 10/091,575	Group Art Unit: 2633
Filed:	March 7, 2002) Examiner: Unassigned
For:	OPTICAL WAVELENGTH DIVISION MULTIPLEX SIGNAL	RECEIVED
_	MONITORING APPARATUS	MAR 2 6 2003
Commissioner for Patents Washington, DC 20231		Technology Center 2600

Sir:

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicants bring to the attention of the Examiner the documents listed on the attached PTO 1449. This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits for the above-referenced application.

Copies of the listed documents, including any copending patent applications, are attached.

Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

English translations of the non-English documents are enclosed.

FINNEGAN HENDERSON FARABOW GARRETT & DUNNER LLP

1300 I Street, NW Washington, DC 20005 202.408.4000 Fax 202.408.4400 www.finnegan.com This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and Applicants determine that the cited documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.

Dated: March 23, 2003

Leonard Smith, Reg. No. 45,118

FINNEGAN HENDERSON FARABOW GARRETT & DUNNER LLP

1300 I Street, NW Washington, DC 20005 202.408.4000 Fax 202.408.4400 www.finnegan.com MAR' 2 4 2003 32

INFORMATION DISCLOSURE CITATION

Atty. Docket No.	4208.0142	Appln. No.	10/091,575
Applicant	Ippei SHAKE et al.	•	
Filing Date	March 7, 2002	Group:	2633

U.S. PATENT DOCUMENTS						
Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate

FOREIGN PATENT DOCUMENTS							
		Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or No
		EP 0 920 150 A2	06/02/99	Europe			Yes
		11-223575	08/17/99	Japan			English Abstract
		2001-217775	08/10/01	Japan			English Abstract
		OTHER DOCUMEN	TS (Including Au	thor, Title, Date, F	Pertinent F	ages, Etc	:.)
	International Telecommunication Union, ITU-T Recommendation G.707/Y.1322, October 2000						
	D. Marcuse, "Derivation of Analytical Expressions for the Bit-Error Probability in Lightwave Systems with Optical Amplifiers," IEEE Journal of Lightwave Technology, Vol. 8, No. 12, pp. 1816-1823, December 1990						
		Takara Hidehiko et al., "Ultra High-Speed Optical Waveform Measurement Method Using Optical Sampling with Sum-Frequency Generation", The Journal of the Institute of Electronics, Information and Communication Engineers of Japan, B-I Vol. J75-B-I, No. 5, pp. 372-380, May 1992					
	H. Takara et al., "100 Gbit/s optical signal eye-diagram measurement with optical sampling using organic nonlinear optical crystal," Electronics Letters, Vol. 32, No. 24, November 21, 1996						
Examiner			Date Considered				
*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							
Form PTO 1449 Patent and Trademark Office - U.S. Department of Commerce							